## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 2400.0640000 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/587,143 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP05/000474 19 January 2005 28 January 2004 TITLE OF INVENTION Powder Formulations APPLICANT(S) FOR DO/EO/US REIZLEIN et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete. including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

18

19.

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

10/587,143	APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP05/000474		2400.0640000	
20. Other items or information:				
The following fees have been submitted		•	CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))	\$			
22. Examination fee (37 CFR 1.492(c))				
If the written opinion prepared by ISA/US or the internal by IPEA/US indicates all claims satisfy provisio All other situations	\$			
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International IPEA/US indicates all claims satisfy provisions  Search fee (37 CFR 1.445(a)(2)) has been paid on the International Searching Authority  International Search Report prepared by an ISA other the previously communicated to the US by the IB	\$			
All other situations		\$500		
TOTAL OF 21, 22 and 23 =	nanor over 100 shoots (ex	oluding		
sequence listing in compliance with 37 CFR 1.8 electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of				
	Number of each additional 50 or fraction thereof (round up to a whole number)			
- 100 = /50 =	× \$250		\$	I
Surcharge of \$130.00 for furnishing any of the search for after the date of commencement of the national stage (	\$ 130.00			
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims - 20 =		x \$50	\$	
Independent claims - 3 =		× \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	\$			
TOTAL OF ABOVE CALCULATIONS =			\$ 130.00	
Applicant claims small entity status. See 37 CFR 1.	.27. Fees above are reduce	d by ½.		
		SUBTOTAL =	\$ 130.00	<u></u>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +			\$	
TOTAL NATIONAL FEE =			\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +			\$	
TOTAL FEES ENCLOSED =			\$ 130.00	
		i	Amount to be refunded:	\$
			Amount to be charged	\$ 130.00

PTC-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. 🗌	A check in the amount of \$	_ to cover the above fees is enclosed.				
b. 🗌	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$ to cover the above fees.				
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No19-0036 . A duplicate copy of this sheet is enclosed.					
d. X	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
and granted to restore the international Approaches to positing statute.						
SEND A	LL CORRESPONDENCE TO:	Vint L (2.				
		SIGNATURE				
CUS	STOMER NUMBER 26111	Vincent L. Capuano				
		NAME				
		42,385				
		REGISTRATION NUMBER				
		·				

SKGF\_669138.1



26111

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Virginis 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/587,143

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Karl Reizlein

2400.0640000/SRL

INTERNATIONAL APPLICATION NO. PCT/EP05/00474

I.A. FILING DATE

PRIORITY DATE

01/19/2005

01/28/2004

**CONFIRMATION NO. 4984 371 FORMALITIES LETTER** 

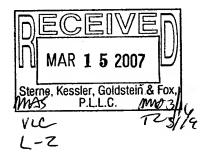
\*OC000000022852762\*

Date Mailed: 03/13/2007

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/24/2006
- Copy of the International Search Report filed on 07/24/2006
- U.S. Basic National Fees filed on 07/24/2006
- Priority Documents filed on 07/24/2006
- Specification filed on 07/24/2006
- Claims filed on 07/24/2006
- Abstracts filed on 07/24/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

• \$130 Surcharge.

Declaration, Late Oath 1 Decl surcharge due May 13, 2007

STAT BAR dul October 13, 2007

DOCKETED

# ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/587,143	PCT/EP05/00474	2400.0640000/SRL

FORM PCT/DO/EO/905 (371 Formalities Notice)